



Client Relationship Summary (Form CRS) – June 26, 2020

Wealthstreet Investment Advisors, LLC (“Wealthstreet,” “we,” “our,” or “us”) is registered with the U.S. Securities and Exchange Commission as an investment adviser. Investment advisory and brokerage services and fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

We offer investment advisory and financial planning services to retail investors. We identify your financial needs and objectives and work with you to determine an appropriate allocation strategy and build a custom portfolio consistent with your need for liquidity, your comfort level for risk, as well as other considerations. In a small percentage of accounts, we may use model portfolios. We monitor your portfolio on an ongoing basis to confirm that investment strategies remain consistent with your needs and objectives. We generally manage accounts on a discretionary basis, which means we execute trades on your behalf without needing additional consent from you. However, our discretion is limited by your investment objectives and restrictions. In limited circumstances, we may manage certain accounts on a non-discretionary basis, which means you receive advice from us and then decide whether or not to follow our recommendations. We may include financial planning as part of our overall advisory services or receive separate compensation for financial planning. Financial planning services do not include the preparation of any legal documents. Implementation of the recommendations or a plan is at your discretion. We also offer portfolio supervision services on a non-discretionary basis where we monitor, on an ongoing basis, the third-party investment managers selected by you for the management of your assets and provide you with periodic recommendations. The minimum dollar market value of assets for opening and/or maintaining an investment advisory account is \$500,000. Consideration is given to lesser-valued accounts.

FOR ADDITIONAL INFORMATION, please see Wealthstreet’s ADV Part 2A, Item 4 regarding the advisory services we offer and any limitations on that advice and Item 7 for the types of clients we serve. Our ADV Part 2A can be found at <https://adviserinfo.sec.gov/firm/summary/286473>.

Ask us:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

We charge fees for advisory services as an annual percentage of assets under management and in limited instances on a fixed fee basis. We calculate fees based on the market value of assets under management at the end of each quarter and bill those fees in arrears within 30 days following the end of each calendar quarter. As such, we are motivated to increase the assets to your account and for the value of your account to grow.

You will incur other fees in addition to investment advisory fees. These may include fees and other expenses charged by mutual funds and exchange-traded funds held in your account; bank and broker custodian fees and transaction costs. We do not receive any portion of those fees. For Portfolio Supervision clients, our fee is separate from and in addition to any fee you pay to any third-party manager of your account(s).

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

FOR ADDITIONAL INFORMATION ABOUT OUR FEES, please see Wealthstreet’s ADV Part 2A, Item 5. Our ADV Part 2A can be found at <https://adviserinfo.sec.gov/firm/summary/286473>.

Ask us:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

We participate, and in the past participated, in the referral service programs of the custodians/brokers (“custodians”) that we recommend to you to hold the assets of your account. We pay the custodians an ongoing fee for each successful referred client and their family member accounts that we manage. In addition, we have agreed not to solicit clients referred to us through these programs to transfer their assets to another custodian, except when our fiduciary duty requires us to do so. Participation in such program creates a conflict of interest as we have an incentive to recommend these custodians to clients. We do not charge referred clients fees or costs higher than our standard fee schedule.

FOR ADDITIONAL INFORMATION ABOUT WEALTHSTREET’S POTENTIAL CONFLICTS OF INTEREST AND OUR CODE TO MEET OUR FIDUCIARY DUTY TO OUR CLIENTS, please see Wealthstreet’s ADV 2A, Items 11, 12, and 14. Our ADV Part 2A can be found at <https://adviserinfo.sec.gov/firm/summary/286473>.

Ask us:

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Wealthstreet’s financial professionals are compensated based on a portion of the advisory fees we earn for the accounts they serve, in some cases supplemented with a base salary; and partners participate in the profits of the firm.

Do you or your financial professionals have legal or disciplinary history?

No, neither the firm nor any of our financial professionals have a legal or disciplinary history. please go to Investor.gov/CRS for a free and simple tool to research us and our financial professionals.

Ask us:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional Information

ADDITIONAL INFORMATION ABOUT Wealthstreet, our fiduciary duty to our clients and the services we offer is provided in ADV Part 2A and 2B. You can request a copy of Form CRS and up-to-date information about Wealthstreet by calling 214.954.1900. You may also find this additional information at <https://adviserinfo.sec.gov/firm/summary/286473>.

Ask us:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**